



PRIVACY POLICY

Hawk Capital Management LLC (“Hawk Capital Management”) is committed to safeguarding the use of personal information of its clients. Investment advisers are required to inform their clients of their policies regarding privacy of client information.

Hawk Capital Management collects nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This information can include, without limitation:

- your Name;
- Address;
- Email Address;
- Social Security Number;
- Date of Birth;
- Driver’s License Information;
- Sources of Income;
- Employment Information;
- Investments;
- Account Balances;
- Investment Objectives;
- Risk Tolerance;
- Historical Transactions; and
- Account Numbers and/or Other Information.

Hawk Capital Management does not sell your non-public personal information to anyone. Nor does Hawk Capital Management provide such information to others except for discrete and reasonable business purposes in connection with the servicing and management of our relationship with you. These business purposes may include but are not limited to processing your transactions, maintaining your account(s), responding to court orders and legal investigations, or where explicitly authorized by you in writing as it relates to providing information to external parties such as attorneys or accountants.

Under Massachusetts law, clients must “opt-in” to share non-public personal information with non-affiliated third parties before any personal information is disclosed. We, may however, as discussed above, disclose non-public personal information to other financial institutions with whom we have joint business arrangements for proper business purposes in connection with the management or servicing of your account.

We treat all information about current and former clients in a highly confidential manner. To safeguard your personal information from unauthorized access and use we maintain physical, procedural and electronic security measures. These include such safeguards as secure passwords, encrypted file storage and a secure office environment. Our technology vendors provide security and access control over personal information and have policies over the transmission of data. We require third parties that assist in providing our services to you to protect the personal information they receive from us.

We will review this Policy annually and we reserve the right to change this Policy from time to time. If the changes materially alter the previous Policy, we may notify you of changes or updates. We will not, however, revise our Policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent the information sharing.

You may ask questions or voice any concerns, as well as obtain a copy of our current Policy by contacting us via email at jason@hawkcapitalmgmt.com